

Űrkommunikáció
Space Communication
2023/11.

Optimal receiver (demodulator)

- MAP – Maximum A-posteriori Probability receiver (absolute optimal receiver)

$$\hat{s}_i(t) = \arg \max_{s_i(t) \in \mathcal{S}} \{f^D(s_i(t) | r(t))\}; \text{ with a - posteriori } f^D(s_i(t) | r(t))$$

Or equivalently signal vector space

$$\hat{\bar{s}}_i = \arg \max_{\bar{s}_i \in \bar{\mathcal{S}}} \{f(\bar{s}_i | \bar{r})\};$$

$$f(\hat{\bar{s}}_i | \bar{r}) \geq f(\bar{s}_i | \bar{r}) \quad \forall \bar{s}_i \in \bar{\mathcal{S}}$$

Equivalently whit a-priori probability $p(\bar{s}_i) = p(s_i(t)) = p(m_i)$

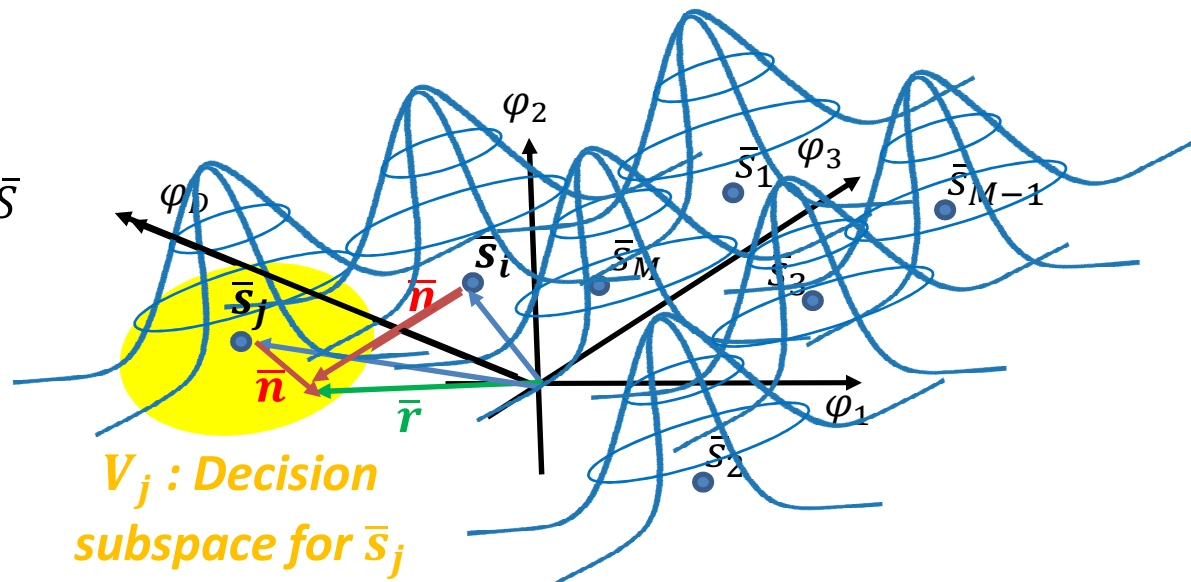
$$\hat{\bar{s}}_i = \arg \max_{\bar{s}_i \in \bar{\mathcal{S}}} \{f(\bar{r} | \bar{s}_i) \cdot p(\bar{s}_i)\};$$

$$f(\bar{r} | \hat{\bar{s}}_i) \cdot p(\hat{\bar{s}}_i) \geq f(\bar{r} | \bar{s}_i) \cdot p(\bar{s}_i) \quad \forall \bar{s}_i \in \bar{\mathcal{S}}$$

- ML – Maximum Likelihood receiver (suboptimal receiver). If $p(m_i)$ uniform, then MAP \equiv ML

$$\hat{\bar{s}}_i = \arg \max_{\bar{s}_i \in \bar{\mathcal{S}}} \{f(\bar{r} | \bar{s}_i)\}$$

$$f(\bar{r} | \hat{\bar{s}}_i) \geq f(\bar{r} | \bar{s}_i) \quad \forall \bar{s}_i \in \bar{\mathcal{S}}$$



Optimal vectorial receiver

$$\text{MAP: } f^D(\bar{r} | \hat{s}_i) \cdot p(\hat{s}_i) \geq f^D(\bar{r} | \bar{s}_i) \cdot p(\bar{s}_i) \quad \forall \bar{s}_i \in \bar{S}$$

P_{c_i} : Probability of correct decision for \bar{s}_i with decision subspace V_i

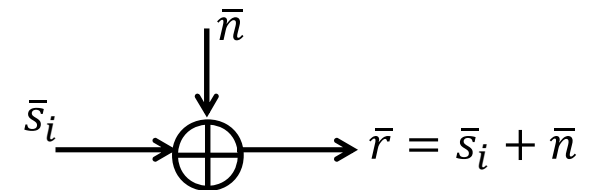
$$P_{c_i} = \iiint_{V_i} f^D(\bar{r} | \hat{s}_i) d\bar{r}$$

P_e : Error Probability of MAP receiver

$$P_e = 1 - \sum_{i=1}^M p(\bar{s}_i) \cdot P_{c_i}$$

Optimal vectorial receiver over AWGN

$D=1$ and D dimensional distribution of the noise



$$f_n^1(n) = \frac{1}{\sqrt{2\pi\sigma_n^2}} \exp\left(-\frac{n^2}{2\sigma_n^2}\right); G(\mu_n = 0, \sigma_n^2 = N_0/2); \quad f_n^D(n) = \frac{1}{(\pi \cdot N_0)^{D/2}} \exp\left(-\frac{|\bar{n}|^2}{N_0}\right)$$

D dimensional conditional distribution of the received vector

$$f^D(\bar{r} | \hat{s}_i) = \frac{1}{(\pi \cdot N_0)^{D/2}} \exp\left(-\frac{|\overbrace{\bar{r} - \hat{s}_i}^{\bar{n}}|^2}{N_0}\right)$$

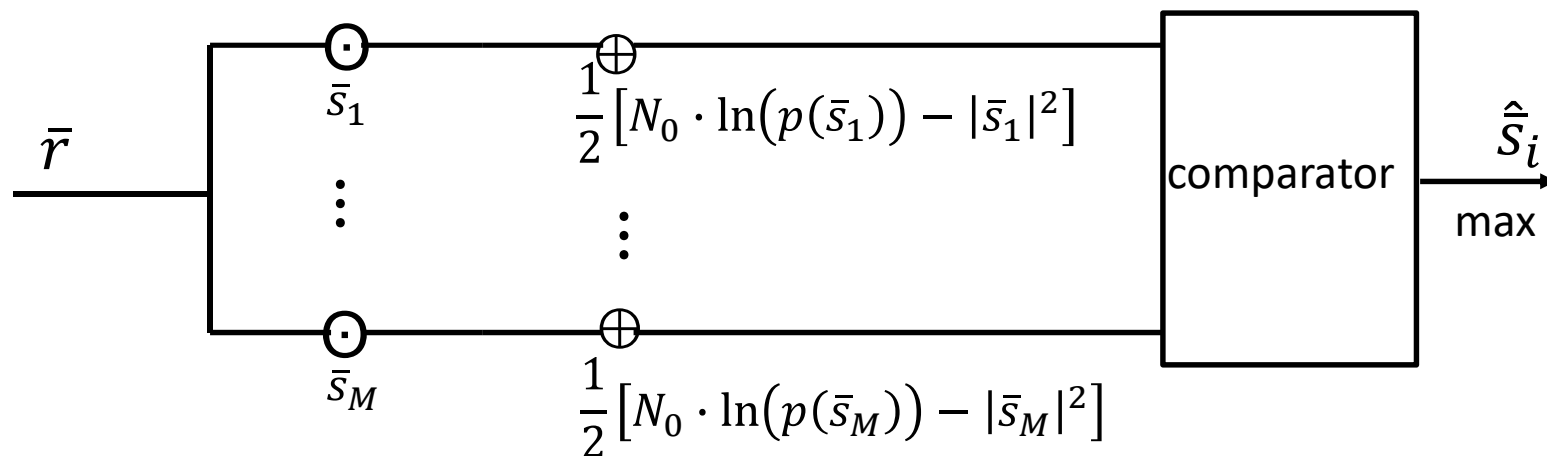
Optimal vectorial receiver over AWGN

$$\text{MAP: } f^D(\bar{r} | \hat{s}_i) \cdot p(\hat{s}_i) \geq f^D(\bar{r} | \bar{s}_i) \cdot p(\bar{s}_i) \quad \forall \bar{s}_i \in \bar{S}$$

Maximum of

$$\begin{aligned} f^D(\bar{r} | \bar{s}_i) \cdot p(\bar{s}_i) &= p(\bar{s}_i) \cdot \frac{1}{(\pi \cdot N_0)^{D/2}} \exp\left(-\frac{\left|\overbrace{\bar{r} - \bar{s}_i}^{\bar{n}}\right|^2}{N_0}\right) \stackrel{\ln(\cdot); \cdot N_0}{=} \\ &= N_0 \cdot \ln(p(\bar{s}_i)) - \underbrace{\frac{D}{2} \cdot N_0 \cdot \ln(\pi \cdot N_0)}_{\text{Constant}} - |\bar{r} - \bar{s}_i|^2 \stackrel{(\cdot)^2}{=} \\ &= N_0 \cdot \ln(p(\bar{s}_i)) - |\bar{r}|^2 + 2 \cdot \bar{r} \cdot \bar{s}_i - |\bar{s}_i|^2 \xrightarrow{\frac{1}{2}; |\bar{r}|^2 \text{ indifferent by max}} \\ &= \bar{r} \cdot \bar{s}_i + \frac{1}{2} [N_0 \cdot \ln(p(\bar{s}_i)) - |\bar{s}_i|^2] \end{aligned}$$

Optimal vectorial receiver

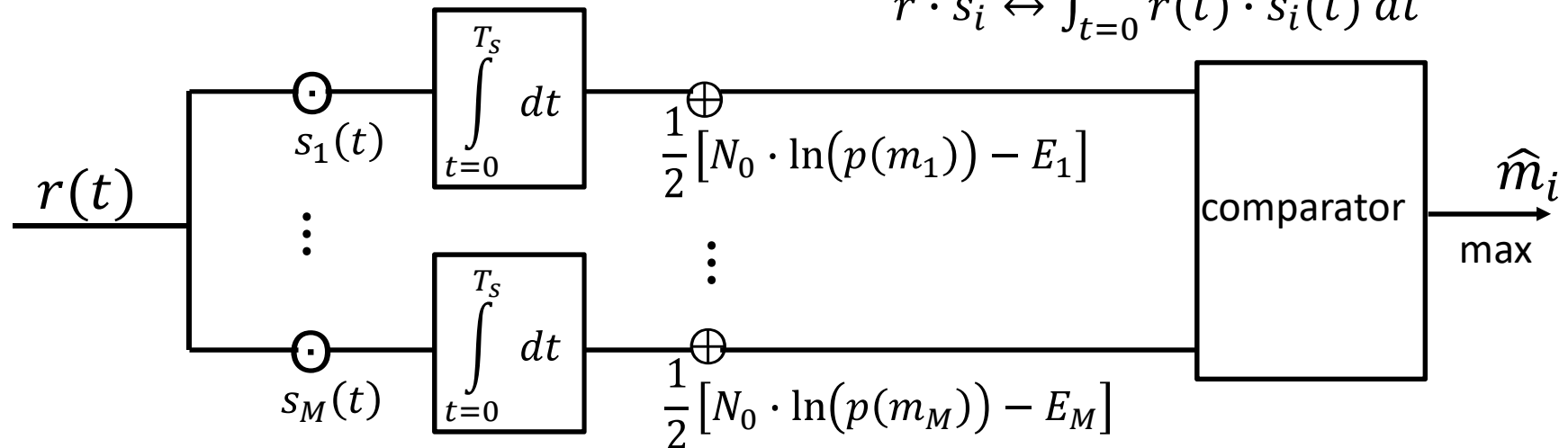


Optimal receiver over AWGN

Correlation receiver

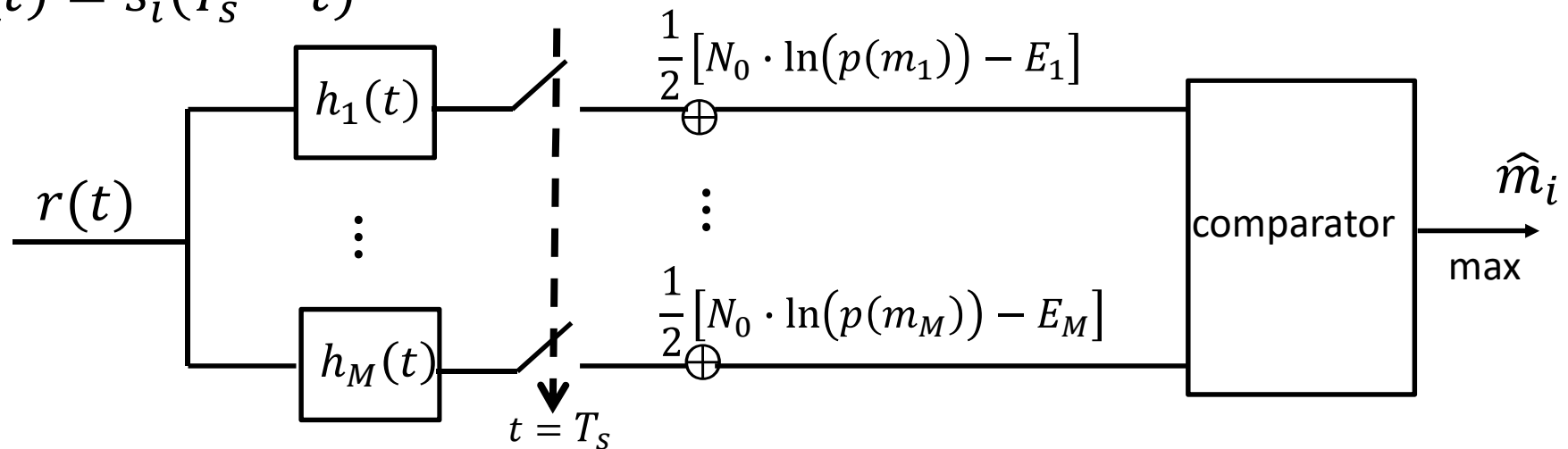
scalar product = correlation

$$\bar{r} \cdot \bar{s}_i \leftrightarrow \int_{t=0}^{T_s} r(t) \cdot s_i(t) dt$$



Matched Filter receiver: $\int_{t=0}^{T_s} r(t) \cdot s_i(t) dt = \int_{-\infty}^{\infty} r(\tau) \cdot s(T_s - t + \tau) d\tau \quad t = T_s$

$$h_i(t) = s_i(T_s - t)$$



„regular simplex” signal constellation

M-ary digital modulation with energy constrain E_{max}

- M different signal waveform
- $E_i \leq E_{max}$.

Optimal signal set \leftrightarrow „regular simplex” signal vector constellation
 $S = \{s_1(t), \dots, s_M(t)\} \leftrightarrow \bar{S} = \{\bar{s}_1, \dots, \bar{s}_M\}$.

Def.: of „regular simplex” vector constellation

Dimension: $D=M-1$; Energy: $|\bar{s}_i|^2 = E_{max} \forall i$; Correlation: $\bar{s}_i \cdot \bar{s}_j = -\frac{E_{max}}{M-1} \forall i, j \ i \neq j$

Examples:

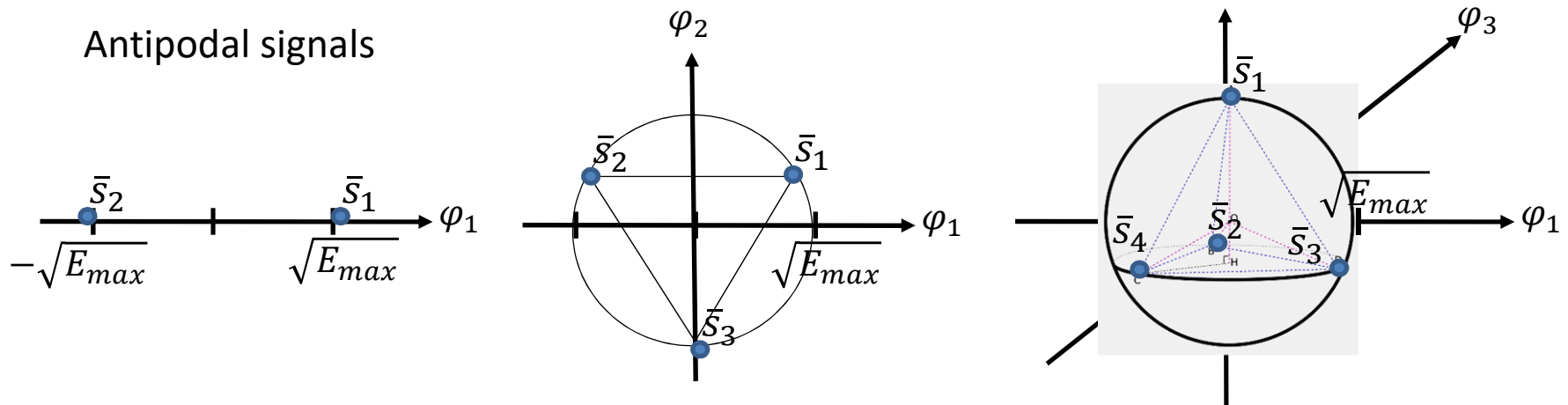
M=2 => D=1

M=3 => D=2

M=4 => D=3

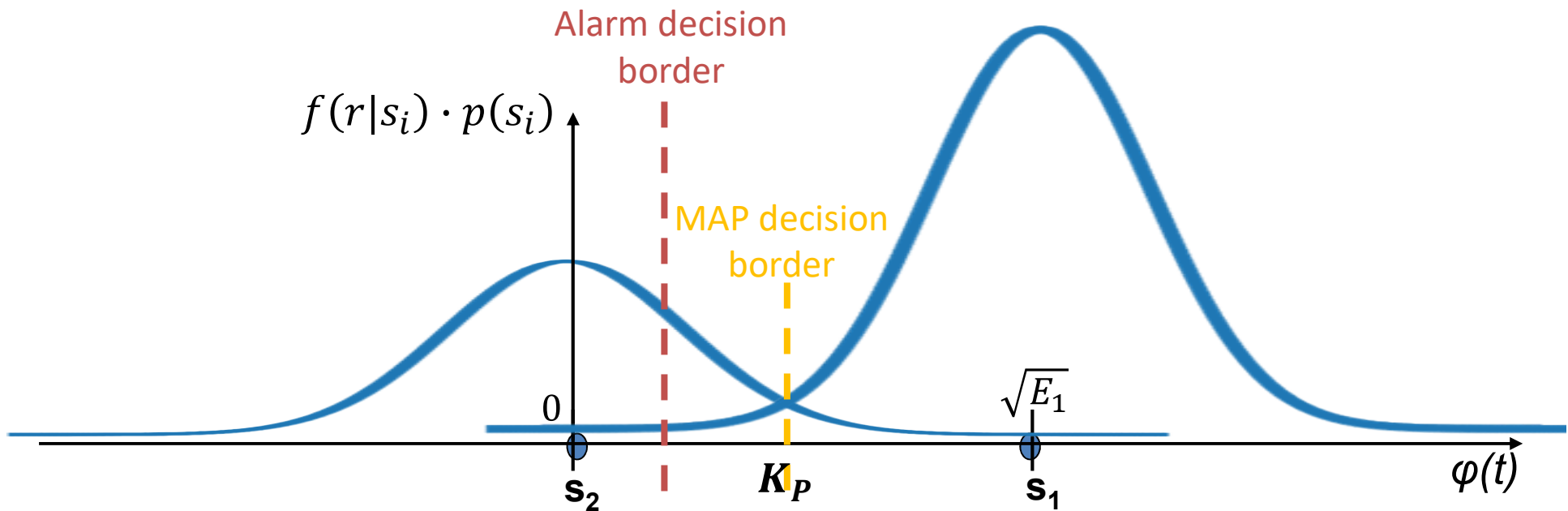
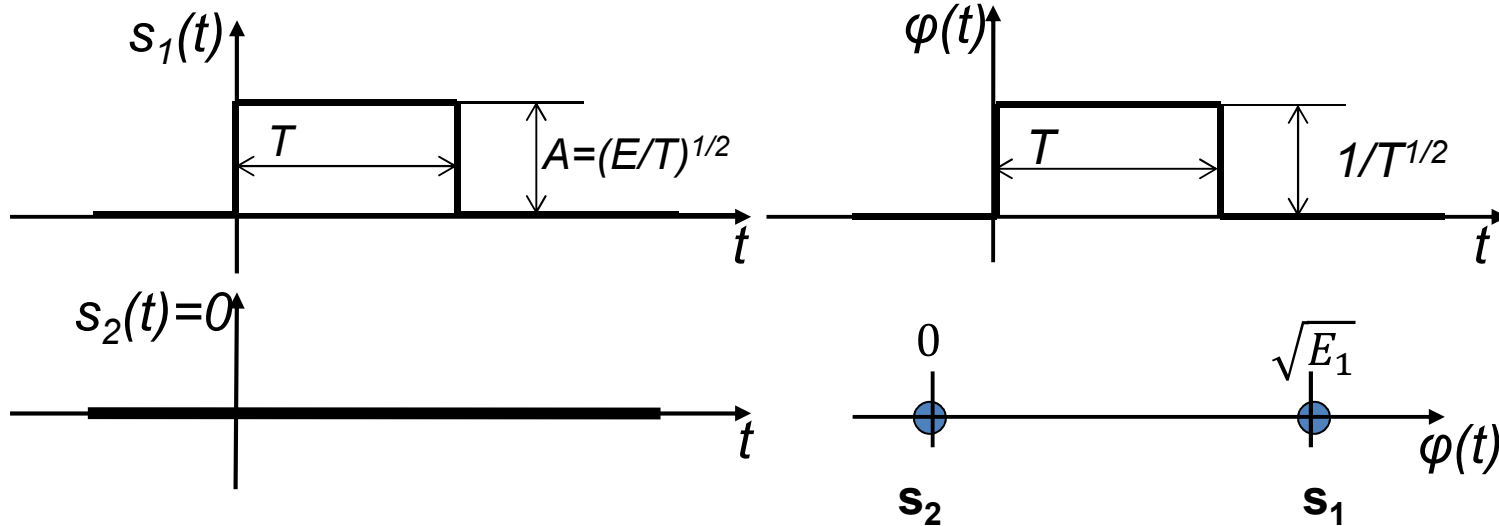
etc....

Antipodal signals

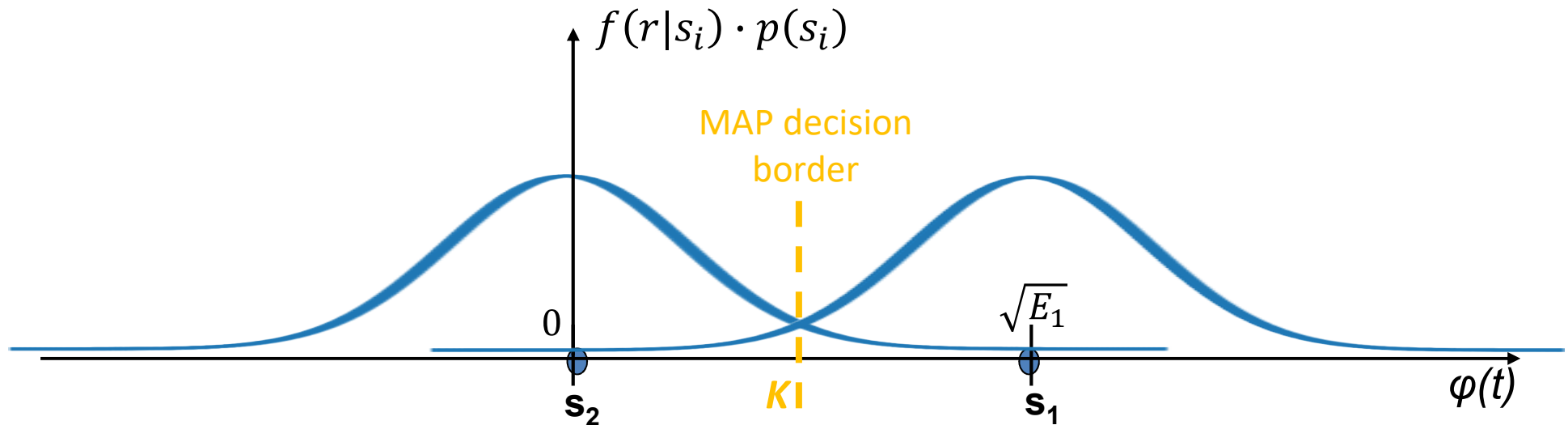


Baseband digital modulation, OOK

On-off keying (OOK), $M=2$, $D=1$, $P = \{p(m_1) > p(m_2)\}$



On-off keying (OOK), $M=2$, $D=1$, $P = \{p(m_1) = p(m_2) = 1/2\}$



Define border K of MAP decision:

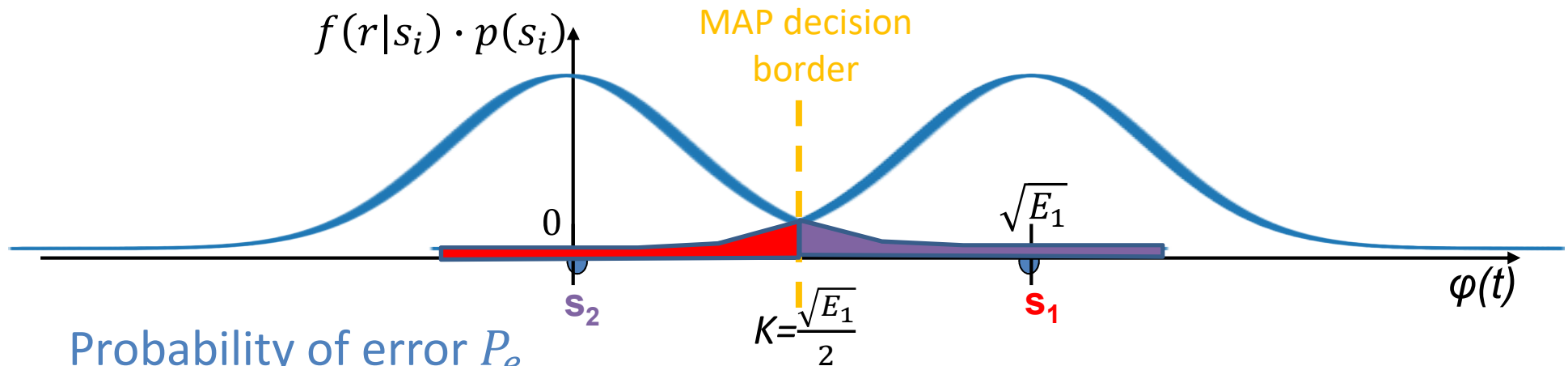
$$\frac{1}{2} \cdot \frac{1}{(\pi \cdot N_0)^{1/2}} \exp\left(-\frac{K^2}{N_0}\right) = \frac{1}{2} \cdot \frac{1}{(\pi \cdot N_0)^{1/2}} \exp\left(-\frac{(K - \sqrt{E_1})^2}{N_0}\right)$$

$$-\frac{K^2}{N_0} = -\frac{(K - \sqrt{E_1})^2}{N_0}$$

$$K^2 = K^2 - 2 \cdot K \cdot \sqrt{E_1} + E_1$$

$$K = \frac{\sqrt{E_1}}{2}$$

On-off keying (OOK), $M=2$, $D=1$, $P = \{p(m_1) = p(m_2) = 1/2\}$



Probability of error P_e

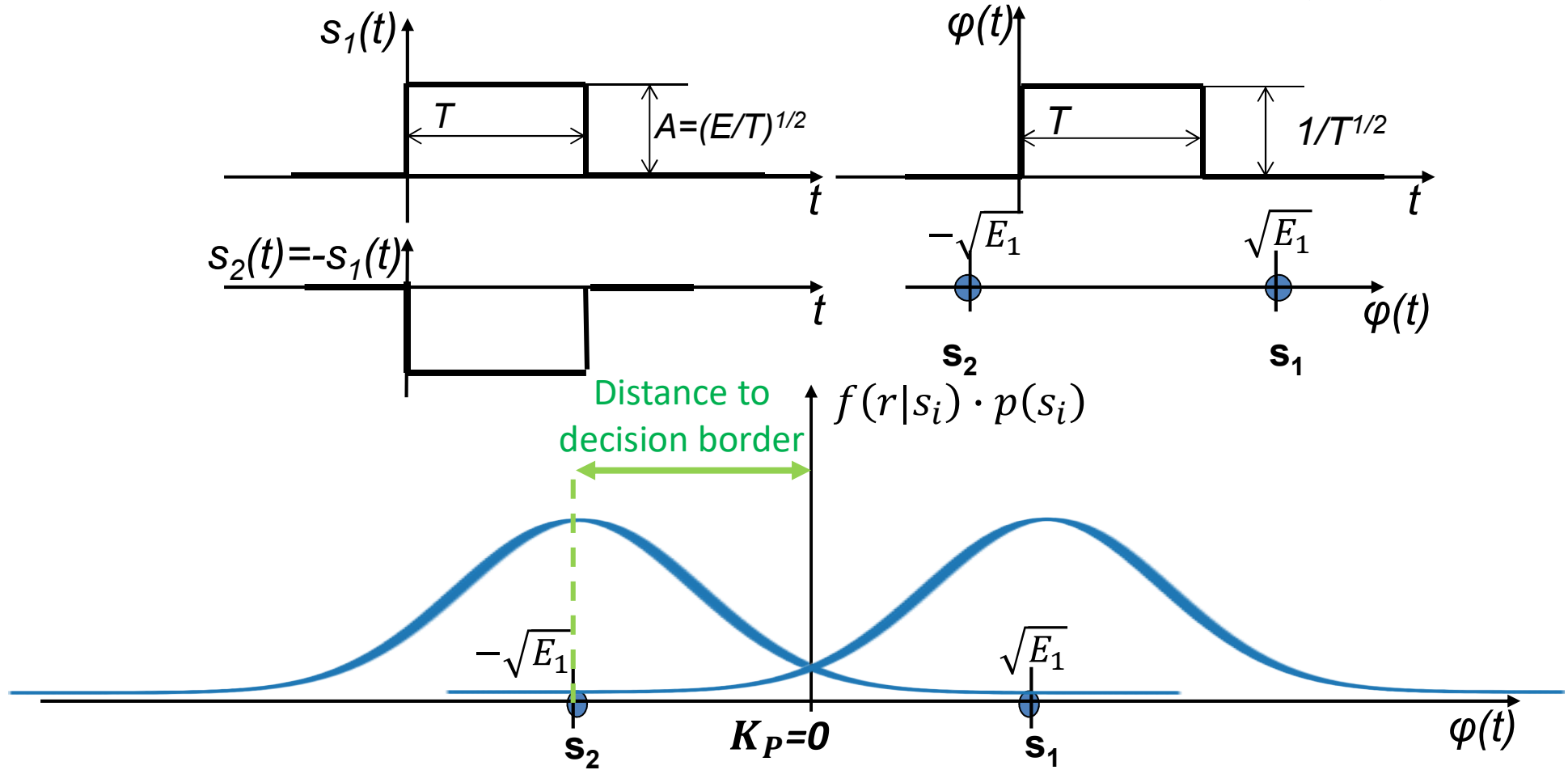
$$P_e = 2 \cdot \int_{\frac{\sqrt{E_1}}{2}}^{\infty} \frac{1}{2} \cdot \frac{1}{(\pi \cdot N_0)^{1/2}} \exp\left(-\frac{n^2}{N_0}\right) dn = \frac{1}{\sqrt{\pi}} \cdot \int_{\frac{\sqrt{E_1}}{2}}^{\infty} \frac{1}{\sqrt{N_0}} \exp\left(-\left(\frac{n}{\sqrt{N_0}}\right)^2\right) dn$$

$$\operatorname{erfc}(x) = \frac{2}{\sqrt{\pi}} \cdot \int_x^{\infty} \exp(-u^2) du; \text{ substitute } u = \frac{n}{\sqrt{N_0}}, \dot{u} = \frac{1}{\sqrt{N_0}}$$

$$P_{e,OOK} = \frac{1}{\sqrt{\pi}} \cdot \int_{\frac{\sqrt{E_1}/N_0}{2}}^{\infty} \exp\left(-\left(\frac{n}{\sqrt{N_0}}\right)^2\right) d\frac{n}{\sqrt{N_0}} = \frac{1}{2} \cdot \operatorname{erfc}\left(\frac{1}{2} \cdot \sqrt{\frac{E_1}{N_0}}\right)$$

Baseband digital modulation, NRZ

Non-return-to-zero (NRZ), $M=2$, $D=1$, $P = \{p(m_1) = p(m_2)\}$



$$P_{e,NRZ} = \frac{1}{2} \cdot \operatorname{erfc} \left(\sqrt{\frac{E_1}{N_0}} \right) \xleftarrow{3\text{dB improvement}} P_{e,OOK} = \frac{1}{2} \cdot \operatorname{erfc} \left(\sqrt{\frac{E_{av}}{2N_0}} \right);$$

Bandpass Modulation or Passband Modulation

- Refers to modulation of signal over a carrier frequency
- According to the information symbol modulate the parameters of a harmonic function (e.g. sin or cos) at carrier frequency

Unmodulated carrier

$$v(t) = \sqrt{2} \cdot A \cdot \cos[\omega_c t + \Phi]$$

- **A**: Amplitude effective value
- $\omega_c = 2\pi \cdot f_c$ Circular (angular, radial) frequency
- Φ : starting angle

General form of modulated carrier

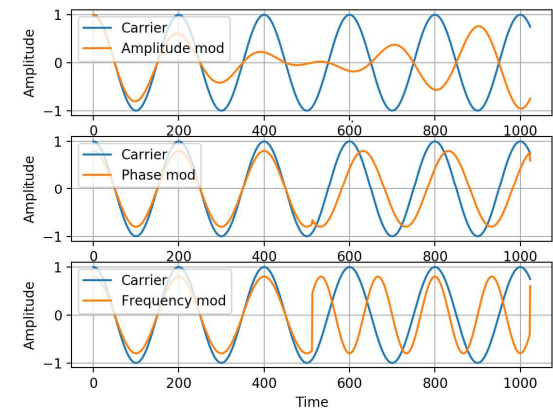
$$x(t) = \sqrt{2} A d(t) \cos[\omega_c t + \mathcal{I}(t) + \Phi]$$

Basic types of Modulation:

- $d(t)$: **Amplitude** modulation (Amplitude Shift Keying, **ASK**)
- $\mathcal{I}(t)$: Angular modulation (two type of)
 - **Phase** modulation: $\mathcal{I}(t)$ corresponds to the baseband information (**PSK**)
 - **Frequency** modulation: $d\mathcal{I}(t)/dt$ corresponds to the baseband information (**FSK**)

Or combination of:

- amplitude and phase: e.g. QAM, APSK, etc.
- amplitude and frequency: biorthogonal modulation, etc.



Quadrature form, Complex Envelope

General form of modulated carrier

$$x(t) = \sqrt{2} A d(t) \cos[\omega_c t + \vartheta(t) + \Phi]$$

Suppose starting angle $\Phi=0$ and applying

$$\sin x \cdot \sin y = \frac{1}{2} [\cos(x - y) - \cos(x + y)]; \quad \cos x \cdot \cos y = \frac{1}{2} [\cos(x - y) + \cos(x + y)]$$

$$x(t) = \sqrt{2} \cdot A \cdot d(t) \cdot [\cos(\vartheta(t)) \cdot \cos(\omega_c t) - \sin(\vartheta(t)) \cdot \sin(\omega_c t)]$$

Quadrature form:

$$x(t) = A \cdot a(t) \cdot \cos(\omega_c t) - A \cdot q(t) \cdot \sin(\omega_c t)$$

Where

$$a(t) = \sqrt{2} d(t) \cos \vartheta(t); \quad q(t) = \sqrt{2} d(t) \sin \vartheta(t) \quad d(t) = \frac{\sqrt{a[(t)]^2 + q[(t)]^2}}{\sqrt{2}}; \quad \vartheta(t) = \text{arctg} \frac{q(t)}{a(t)}$$

Complex Envelope:

$$x(t) = A \cdot \text{Re} \left\{ \underbrace{[a(t) + j \cdot q(t)]}_{\text{Complex Envelope}} \cdot e^{j\omega_c t} \right\}$$

Complex Envelope

I, Inphase and

Q, Quadrature phase

Quality, Bandwidth and Price of Bandpass Digital Modulation

Quality: mostly two measure

- Probability of errors P_e
 - Bit Error Probability, Bit Error Rate – BER,
 - Symbol Error Rate – SER, Packet Error Rate – PER, etc.
- Speed of Information transfer
 - Bit/Symbol/Packet Rate – R [bit/sec, ...]
- But also processing time by some real time apps

Bandwidth B of digital communication:

- Because of finite signal duration T_s theoretically infinite bandwidth (Recap: Fourier transf.)
- In practice:
 - At one carrier system – D=2 dimensional modulation (e.g. ASK, PSK, QAM):
 $B \approx 1/T_s$ because using filters (Recap: Matched Filter receiver)
 - At multiple carrier system – D=M dimensional modulation (e.g. FSK, OFDM):
 $B \approx M/T_s$

Price of digital communication:

- The necessary power: P
- The necessary bandwidth: B
- The necessary signal processing: Digital computation (negligible)
 - These can be converted by guarantying the same P_e : $B \downarrow \Rightarrow P \uparrow$ also $P \downarrow \Rightarrow B \uparrow$

Using higher order modulation: instead of binary $M=2^1$, $M=2^n$ -ary modulation

$$P_e \leftrightarrow SNR, \text{ e.g. } E/N_0 : E_1 = P \cdot T_b \leftrightarrow B \approx 1/T_b \text{ vs. } E_n = P \cdot n \cdot T_b \leftrightarrow B \approx 1/nT_b$$

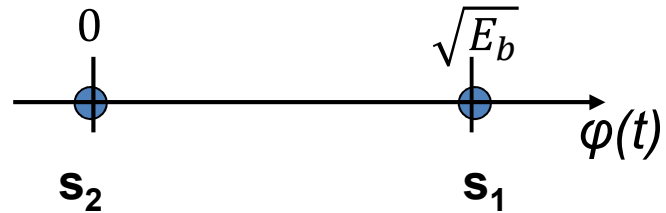
Binary Bandpass Modulation

- Binary Amplitude Shift Keying – BASK; M=2, D=1

$$s_1(t) = \sqrt{2} \cdot \sqrt{\frac{E_b}{T_b}} \cos \omega_c t$$

$$s_2(t) = 0$$

$$\varphi(t) = \sqrt{\frac{2}{T_b}} \cos \omega_c t$$



Bit error probability will be the same as by baseband OOK

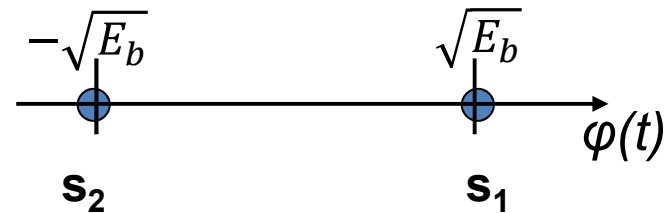
$$P_{e,BASK} = \frac{1}{2} \cdot \operatorname{erfc} \left(\frac{1}{2} \cdot \sqrt{\frac{E_b}{N_0}} \right) = \frac{1}{2} \cdot \operatorname{erfc} \left(\sqrt{\frac{E_{av}}{2N_0}} \right)$$

- Binary Phase Shift Keying – BPSK; M=2, D=1

$$s_1(t) = \sqrt{2} \cdot \sqrt{\frac{E_b}{T_b}} \cos \omega_c t$$

$$s_2(t) = \sqrt{2} \cdot \sqrt{\frac{E_b}{T_b}} \cos(\omega_c t + \pi)$$

$$\varphi(t) = \sqrt{\frac{2}{T_b}} \cos \omega_c t$$



Antipodal signal set – „regular simplex” for D=1

Bit error probability will be the same as by baseband NRZ

$$P_{e,BPSK} = \frac{1}{2} \cdot \operatorname{erfc} \left(\sqrt{\frac{E_1}{N_0}} \right)$$

M-ary Phase Modulation

Set of Digital Signals: $S = \{s_1(t), \dots, s_M(t)\}$, $M = 2^n$

Symbol energy $E_s = E_i = \int_{t=0}^{T_s} s_i^2(t) dt \quad \forall i$

Symbol duration $T_s = n \cdot T_b$

Signal waveforms $s_i(t)$ for $i = 0, 1, \dots, M - 1$

$$s_i(t) = \sqrt{\frac{2 \cdot E_s}{T_s}} \cos\left(\omega_c \cdot t + i \cdot \frac{2\pi}{M} + \varphi\right)$$

Basis functions of a D=2 dimensional signal space Φ

$$\varphi_1(t) = \sqrt{\frac{2}{T_s}} \cos(\omega_c \cdot t) \text{ and } \varphi_2(t) = \sqrt{\frac{2}{T_s}} \sin(\omega_c \cdot t)$$

$$\int_{t=0}^{T_s} \varphi_1(t) \cdot \varphi_2(t) dt = \frac{2}{T_s} \int_{t=0}^{T_s} \cos(\omega_c \cdot t) \cdot \sin(\omega_c \cdot t) dt = \frac{1}{T_s} \int_{t=0}^{T_s} [\sin(2\omega_c \cdot t) - \sin(0)] dt$$

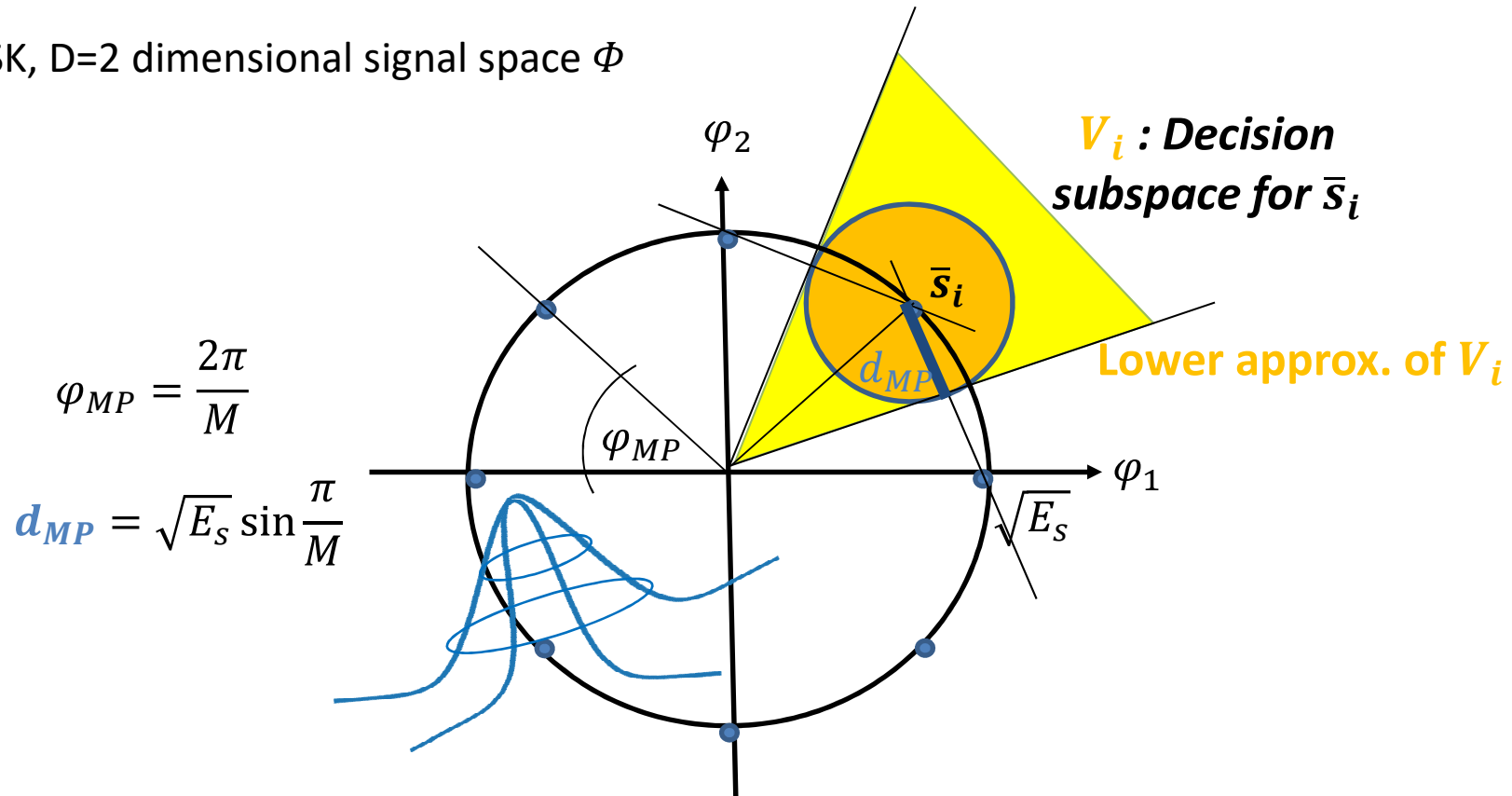
$$= \frac{1 - \cos(2\omega_c T_s)}{2\omega_c T_s} = \begin{cases} 0 & \text{if } 2\omega_c T_s = 2k\pi \leftrightarrow \omega_c = \frac{k\pi}{T_s} \\ \cong 0 & \text{if } \omega_c \gg \frac{1}{T_s}; \text{ quasi-orthogonal} \end{cases}$$

Signal waveforms and vectors: $s_i(t) \leftrightarrow \bar{s}_i$ for $i = 0, 1, \dots, M - 1$

$$s_i(t) = s_{i1} \cdot \varphi_1(t) + s_{i2} \cdot \varphi_2(t) \leftrightarrow \bar{s}_i = [s_{i1}, s_{i2}]$$

M-ary Phase Modulation

MPSK, D=2 dimensional signal space Φ



Upper bound of symbol error probability $P_{e,s}$ (or SER)

$$P_{e,s} < 1 - \sum_{i=1}^M p(\bar{s}_i) \cdot \int_{\rho=0}^{d_{MP}} \int_{\varphi=0}^{2\pi} f(\bar{r} | \bar{s}_i) d\rho d\varphi$$